

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FREE TRANSMITTAL

for FY 2006

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$1680.00)

Complete If Known

Application Number 10/763,657
Filing Date 01/23/2004
First Named Inventor Tachikawa
Examiner Name Thomas, Toniae M.
Art Unit 2822
Attorney Docket No. TJK/446

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify):

☒ Deposit Account Deposit Account Number: 19-1351 Deposit Account Name: Seyfarth Shaw LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

| Application Type | FILING FEES | | SEARCH FEES | | EXAMINATION FEES | | Fees Paid (\$) |
|------------------|-------------|-----------------------|-------------|-----------------------|------------------|-----------------------|----------------|
| | Fee (\$) | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | |
| Utility | 300 | 150 | 500 | 250 | 200 | 100 | |
| Design | 200 | 100 | 100 | 50 | 130 | 65 | |
| Plant | 200 | 100 | 300 | 150 | 160 | 80 | |
| Reissue | 300 | 150 | 500 | 250 | 600 | 300 | |
| Provisional | 200 | 100 | 0 | 0 | 0 | 0 | |

2. EXCESS CLAIM FEES

| Fee Description | Small Entity Fee (\$) | Fee (\$) |
|---|----------------------------------|-----------------|
| Each claim over 20 (including Reissues) | 50 | 25 |
| Each independent claim over 3 (including Reissues) | 200 | 100 |
| Multiple dependent claims | 360 | 180 |
| Total Claims | Multiple Dependent Claims | |
| - 20 or HP = x = | Fee (\$) | Fee Paid (\$) |
| HP = highest number of total claims paid for, if greater than 20 | | |
| Indep. Claims | Extra Claims | Fee (\$) |
| - 3 or HP = 0 x 0 = 0 | | |
| HP = highest number of independent claims paid for, if greater than 3 | | |

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$)

Other FEE(S) Non-English Specification, \$130 fee (no small entity discount)
Other (e.g., late filing surcharge): Petition to Revive (1500); Information Disclosure Statement (180) 1680.00

SUBMITTED BY

Signature [Signature] Registration No. 47,497 Telephone 312-460-5000
Name (Print/Type) Brian S. Clise (Attorney/Agent) Date 2/07/07



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: TACHIKAWA)

Serial No.: 10/763,657)

Filed: Jan. 23, 2004)

Title: METHOD FOR MANUFACTURING
ELECTROLUMINESCENT ELEMENT)

Attorney Docket No.: TJK/446)

Date: December 11, 2006)

Group Art Unit: 2822)

Examiner: Thomas, Toniae M.)

CUSTOMER NO.

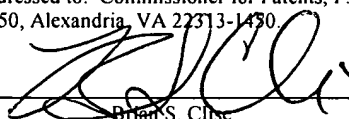
27717

Confirmation No.

8547

Certificate of Mailing

I hereby certify that, on 2/7/07, this
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1450, Alexandria, VA 22313-1450.


Brian S. Clisc

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Examiner:

Pursuant to the duty of disclosure under 37 CFR 1.56, and in accordance with MPEP §601 and 37 CFR 1.97 and 1.98, the applicants and the undersigned attorney wish to bring the following information to the Examiner's attention in connection with the examination of the above-captioned application. This Information Disclosure Statement is being filed in accordance with 37 CFR 1.97(c) and, therefore, is accompanied by authorization to deduct a fee of \$180.00 as specified by 37 CFR 1.17(p) from Deposit Account No. 19-1351. If any other fees are due in connection with this application, the Patent Office is authorized to deduct the fees from the Deposit Account No. 19-1351. If such withdrawal is made, please indicate the attorney docket number (TJK/446) on the account statement.

U.S. PATENT DOCUMENTS

| REFERENCE NO. | ISSUE/PUBLICATION DATE | FIRST NAMED INVENTOR |
|---------------|------------------------|----------------------|
| 6,171,765 | January 9, 2001 | James R. Sheats |
| 5,953,585 | September 14, 1999 | Satoshi Miyaguchi |

NON-U.S. PATENT DOCUMENTS

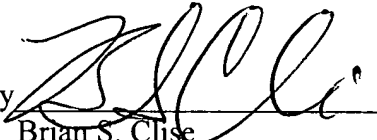
| COUNTRY / REFERENCE NO. | ISSUE/PUBLICATION DATE | FIRST NAMED INVENTOR |
|-------------------------|------------------------|----------------------|
| WO 97/38445 | October 16, 1997 | Paul May |
| JP 2001-237075 | August 31, 2001 | Matsuo Mikiko |

The above items are listed on the Form PT/SB/08A which accompanies this Information Disclosure Statement. A copy of each non-US reference is enclosed herewith. A copy of an English-language Abstract provided by the Japanese patent office is provided for the JP reference.

In accordance with 37 CFR 1.97(h), this filing shall not be construed as an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 CFR 1.56(b).

Respectfully submitted,

Seyfarth Shaw LLP
Attorneys for Assignee
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Suite 2400
Chicago, Illinois 60603
312-460-5000

By 
Brian S. Clise
Reg. No. 47,497

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

| | |
|------------------------|-------------------|
| Application Number | 10/763,657 |
| Filing Date | 01/23/2004 |
| First Named Inventor | Tachikawa |
| Art Unit | 2822 |
| Examiner Name | Thomas, Toniae M. |
| Attorney Docket Number | TJK/446 |

(Use as many sheets as necessary)

Sheet

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U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | † ⁶ |
|--------------------|-----------------------|---|--------------------------------|--|---|----------------|
| | | Country Code ³ "Number" ⁴ "Kind Code" ⁵ (if known) | | | | |
| | | WO 97/38445 | Oct. 16, 1997 | May | | |
| | | JP 2001-237075 | Aug. 31, 2001 | Mikiko et al. | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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